



<http://elec3004.com>

## Sampling Theory & Data Acquisition

ELEC 3004: **Systems**: Signals & Controls

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Lecture 5

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$\pi$ -day (2017)

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### Lecture Schedule:

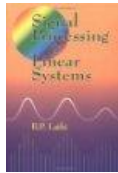
| Week | Date   | Lecture Title   |
|------|--------|---|
| 1    | 28-Feb | Introduction  |
|      | 2-Mar  | Systems Overview                                      |
| 2    | 7-Mar  | Systems as Maps & Signals as Vectors                  |
|      | 9-Mar  | Systems: Linear Differential Systems                  |
| 3    | 14-Mar | Sampling Theory & Data Acquisition                    |
| 4    | 16-Mar | Antialiasing Filters                                  |
|      | 21-Mar | Discrete System Analysis                              |
| 5    | 23-Mar | Convolution Review                                    |
|      | 28-Mar | Frequency Response                                    |
| 6    | 30-Mar | Filter Analysis                                       |
|      | 4-Apr  | Digital Filters (IIR)                                 |
| 7    | 6-Apr  | Digital Windows                                       |
|      | 11-Apr | Digital Filter (FIR)                                  |
| 8    | 13-Apr | FFT   |
|      | 18-Apr | Holiday   |
| 9    | 20-Apr |   |
|      | 25-Apr |   |
| 10   | 27-Apr | Active Filters & Estimation                           |
| 11   | 2-May  | Introduction to Feedback Control                      |
|      | 4-May  | Servoregulation/PID                                   |
| 12   | 9-May  | Introduction to (Digital) Control                     |
|      | 11-May | Digital Control                                       |
| 13   | 16-May | Digital Control Design                                |
|      | 18-May | Stability   |
| 14   | 23-May | Digital Control Systems: Shaping the Dynamic Response |
|      | 25-May | Applications in Industry                              |
| 15   | 30-May | System Identification & Information Theory            |
|      | 1-Jun  | Summary and Course Review                             |



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## Follow Along Reading:



**B. P. Lathi**  
*Signal processing  
and linear systems*  
1998  
[TK5102.9.L38 1998](#)

- Chapter 5:  
**Sampling**
  - § 5.1 **The Sampling Theorem**
  - § 5.2 Numerical Computation of  
Fourier Transform: The Discrete  
Fourier Transform (DFT)

Also:

- § 4.6 Signal Energy



# Linear Differential Systems

(Recap)

# Equivalence Across Domains

**Table 2.1 Summary of Through- and Across-Variables for Physical Systems**

| System                   | Variable Through Element           | Integrated Through-Variable | Variable Across Element                    | Integrated Across-Variable                     |
|--------------------------|------------------------------------|-----------------------------|--|--|
| Electrical               | Current, $i$                       | Charge, $q$                 | Voltage difference, $v_{21}$               | Flux linkage, $\lambda_{21}$                   |
| Mechanical translational | Force, $F$                         | Translational momentum, $P$ | Velocity difference, $v_{21}$              | Displacement difference, $y_{21}$              |
| Mechanical rotational    | Torque, $T$                        | Angular momentum, $h$       | Angular velocity difference, $\omega_{21}$ | Angular displacement difference, $\theta_{21}$ |
| Fluid                    | Fluid volumetric rate of flow, $Q$ | Volume, $V$                 | Pressure difference, $P_{21}$              | Pressure momentum, $\gamma_{21}$               |
| Thermal                  | Heat flow rate, $q$                | Heat energy, $H$            | Temperature difference, $\mathcal{T}_{21}$ |  |

Source: Dorf & Bishop, *Modern Control Systems*, 12<sup>th</sup> Ed., p. 73



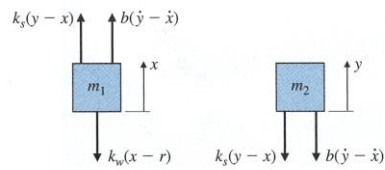
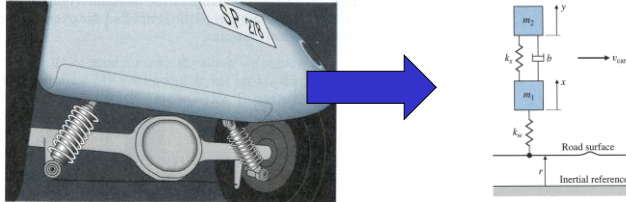
**Table 2.2 Summary of Governing Differential Equations for Ideal Elements**

| Type of Element    | Physical Element       | Governing Equation                        | Energy $E$ or Power $\mathcal{P}$                | Symbol |
|--------------------|------------------------|---|--|--------|
| Inductive storage  | Electrical inductance  | $v_{21} = L \frac{di}{dt}$                | $E = \frac{1}{2} L i^2$                          |        |
|                    | Translational spring   | $v_{21} = \frac{1}{k} \frac{dF}{dt}$      | $E = \frac{1}{2} \frac{F^2}{k}$                  |        |
|                    | Rotational spring      | $\omega_{21} = \frac{1}{k} \frac{dT}{dt}$ | $E = \frac{1}{2} \frac{T^2}{k}$                  |        |
|                    | Fluid inertia          | $P_{21} = I \frac{dQ}{dt}$                | $E = \frac{1}{2} I Q^2$                          |        |
| Capacitive storage | Electrical capacitance | $i = C \frac{dv_{21}}{dt}$                | $E = \frac{1}{2} C v_{21}^2$                     |        |
|                    | Translational mass     | $F = M \frac{dv_{21}}{dt}$                | $E = \frac{1}{2} M v_{21}^2$                     |        |
|                    | Rotational mass        | $T = J \frac{d\omega_{21}}{dt}$           | $E = \frac{1}{2} J \omega_{21}^2$                |        |
|                    | Fluid capacitance      | $Q = C_f \frac{dP_{21}}{dt}$              | $E = \frac{1}{2} C_f P_{21}^2$                   |        |
|                    | Thermal capacitance    | $q = C_t \frac{d\mathcal{T}_{21}}{dt}$    | $E = C_t \mathcal{T}_{21}^2$                     |        |
| Energy dissipators | Electrical resistance  | $i = \frac{1}{R} v_{21}$                  | $\mathcal{P} = \frac{1}{R} v_{21}^2$             |        |
|                    | Translational damper   | $F = b v_{21}$                            | $\mathcal{P} = b v_{21}^2$                       |        |
|                    | Rotational damper      | $T = b \omega_{21}$                       | $\mathcal{P} = b \omega_{21}^2$                  |        |
|                    | Fluid resistance       | $Q = \frac{1}{R_f} P_{21}$                | $\mathcal{P} = \frac{1}{R_f} P_{21}^2$           |        |
|                    | Thermal resistance     | $q = \frac{1}{R_t} \mathcal{T}_{21}$      | $\mathcal{P} = \frac{1}{R_t} \mathcal{T}_{21}^2$ |        |

Source: Dorf & Bishop, *Modern Control Systems*, 12<sup>th</sup> Ed., p. 74



## Example: Quarter-Car Model



## Example: Quarter-Car Model (2)

$$\ddot{x} + \frac{b}{m_1}(\dot{x} - \dot{y}) + \frac{k_s}{m_1}(x - y) + \frac{k_w}{m_1}x = \frac{k_w}{m_1}r,$$

$$\ddot{y} + \frac{b}{m_2}(\dot{y} - \dot{x}) + \frac{k_s}{m_2}(y - x) = 0.$$

$$s^2 X(s) + s \frac{b}{m_1}(X(s) - Y(s)) + \frac{k_s}{m_1}(X(s) - Y(s)) + \frac{k_w}{m_1}X(s) = \frac{k_w}{m_1}R(s),$$

$$s^2 Y(s) + s \frac{b}{m_2}(Y(s) - X(s)) + \frac{k_s}{m_2}(Y(s) - X(s)) = 0,$$

$$\frac{Y(s)}{R(s)} = \frac{\frac{k_w b}{m_1 m_2} \left( s + \frac{k_s}{b} \right)}{s^4 + \left( \frac{b}{m_1} + \frac{b}{m_2} \right) s^3 + \left( \frac{k_s}{m_1} + \frac{k_s}{m_2} + \frac{k_w}{m_1} \right) s^2 + \left( \frac{k_w b}{m_1 m_2} \right) s + \frac{k_w k_s}{m_1 m_2}}.$$



## Economics: Cost of Production

Materials, parts, labour, etc. (**inputs**) are combined to make a number of products (**outputs**):

- $x_j$ : price per unit of production input  $j$
- $a_{ij}$ : input  $j$  required to manufacture one unit of product  $i$
- $y_i$ : production cost per unit of product  $i$
- For  $y = Ax$ :
  - $i^{th}$  row of  $A$  is bill of materials for unit of product  $i$
- Production inputs needed:
  - $q_i$  is quantity of product  $i$  to be produced
  - $r_j$  is total quantity of production input  $j$  needed

$$\therefore r = A^T q$$

& Total production cost is:

$$r^T x = (A^T q)^T x = q^T Ax$$

Source: Boyd, EE263, Slide 2-18



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## Estimation (or inversion)



$$y = Ax$$

- $y_i$  is  $i^{th}$  measurement or sensor reading (which we have)
- $x_j$  is  $j^{th}$  parameter to be estimated or determined
- $a_{ij}$  is sensitivity of  $i^{th}$  sensor to  $j^{th}$  parameter
- sample problems:
  - find  $x$ , given  $y$
  - find all  $x$ 's that result in  $y$  (i.e., all  $x$ 's consistent with measurements)
  - if there is no  $x$  such that  $y = Ax$ , find  $x$  s.t.  $y \approx Ax$   
(i.e., if the sensor readings are inconsistent, find  $x$  which is almost consistent)

Source: Boyd, EE263, Slide 2-26



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# Digital Signals & Systems

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## Signal: A carrier of (desired) information [1]

- Need **NOT** be electrical:
  - Thermometer
  - Clock hands
  - Automobile speedometer
- Need **NOT** always be given
  - “Abnormal” sounds/operations
  - Ex: “pitch” or “engine hum” during machining as an indicator for feeds and speeds



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## Signal: A carrier of (desired) information [2]

- Electrical signals
  - Voltage
  - Current
- **Digital signals**
  - **Convert analog electrical signals to an appropriate digital electrical message**
  - **Processing by a microcontroller or microprocessor**



## Transduction (sensor to an electrical signal)

- Sensor reacts to environment (physics)
- Turn this into an electrical signal:
  - V: voltage source
  - I: current source
- **Measure this signal**
  - Resistance
  - Capacitance
  - Inductance



## Digital Signal

- Representation of a signal against a discrete set
- The set is fixed in by computing hardware

$$s \in \mathbb{Z}$$

- Can be scaled or normalized ... but is limited

$$s \in \mathbb{Z}(0, \dots, 2^{16})$$

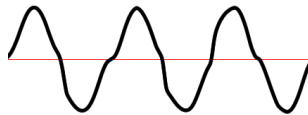
- Time is also discretized

$$s' \in \frac{\mathbb{Z}(0, \dots, 2^{16})}{2^{16}}$$

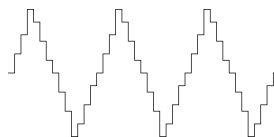


## Analog vs Digital

- *Analog Signal*: An analog or analogue signal is any variable signal **continuous** in both time and amplitude



- *Digital Signal*: A digital signal is a signal that is both **discrete** and quantized



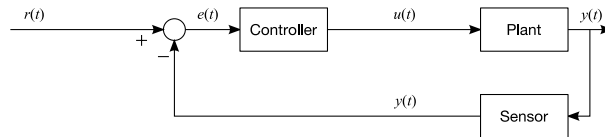
E.g. Music stored in a CD: 44,100 Samples per second and 16 bits to represent amplitude



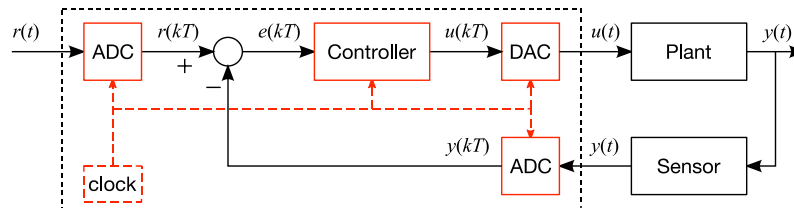


## Digital Systems

- Continuous:

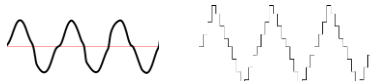


- Digital:



## ➔ Digital Systems ••

### Better SNR

- We trade-off “**certainty in time**” for “**signal noise/uncertainty**”
  - Analog:  $\infty$  time resolution
    - Digital has fixed time steps
- 
- This avoids the noise and uncertainty in component values that affect analogue signal processing.

### Better Processing

- Digital microprocessors** are in a range of objects, from obvious (e.g. phone) to disposable (e.g. Go cards). (what doesn't have one?)

Compared to analog computing (op-amp):

- Accuracy:** digital signals are usually represented using 12 bits or more.
- Reliability:** The ALU is stable over time.
- Flexibility:** limited only programming ability!
- Cost:** advances in technology make microcontrollers economical even for small, low cost applications. (Raspberry Pi 3: US\$35)

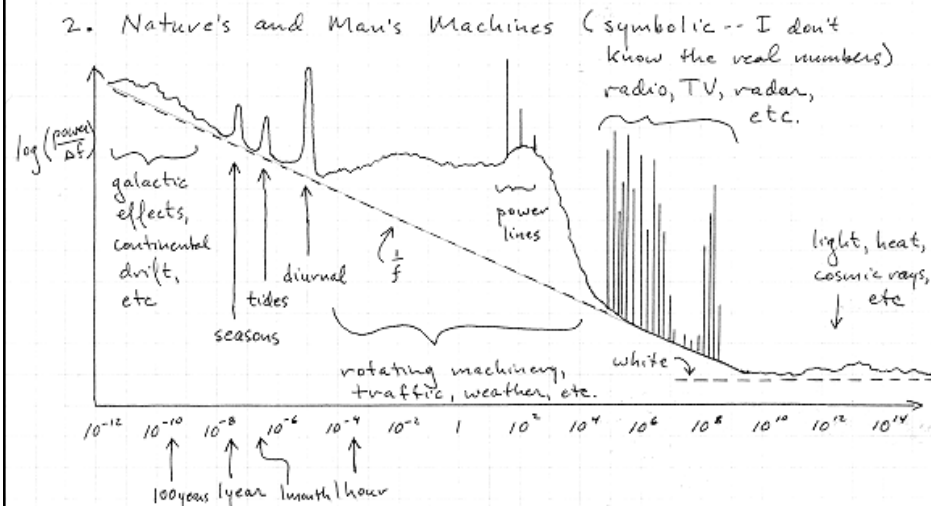


# Digital Signals & Systems

# WHY?

# Noise!

## BUT there is Noise ...



Note: this picture illustrates the concepts but it is not quantitatively precise



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Source: Prof. M. Siegel, CMU 2017 - 21

## Noise: "Unwanted" Signals Carrying Errant Information

- Cross-coupled measurements
- Cross-talk (at a restaurant or even a lecture)
- A bright sunny day obstructing picture subject
- Strong radio station near weak one
- observation-to-observation variation
  - Measurement fluctuates (ex: student)
  - Instrument fluctuates (ex: quiz !)
- Unanticipated effects / variation (**Temperature**)
- **One man's noise might be another man's signal**



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## Noise: Fundamental Natural Sources

- Voltage (EMF) – Capacitive & Inductive Pickup
- Johnson Noise – thermal / Brownian
- 1/f ( $V_j = \sqrt{4k_bTR}$ )
- Shot noise (interval-to-interval statistical count)  
$$V_f = \sqrt{\frac{\alpha V_R^2}{Nf}}$$



## SNR : Signal to Noise Ratio

$$V = V_s + V_n$$

$$\text{Magnitude: } \bar{V}^2 = \bar{V}_s^2 + \bar{V}_n^2 + V_s \bar{V}_n$$

$$\frac{S}{N} = \frac{V_s^2}{V_n^2}$$

$$\text{in dB: } 10 \log \left( \frac{\bar{V}_s^2}{\bar{V}_n^2} \right) = 20 \log \left( \frac{V_s^{rms}}{V_n^{rms}} \right)$$



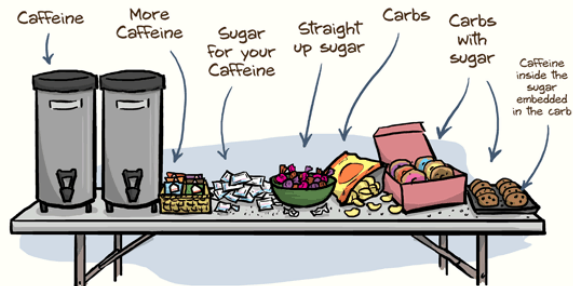
BREAK

A theory for all this ...

Sampling!

Not this type of sampling ... ☺

## SEMINAR REFRESHMENTS!

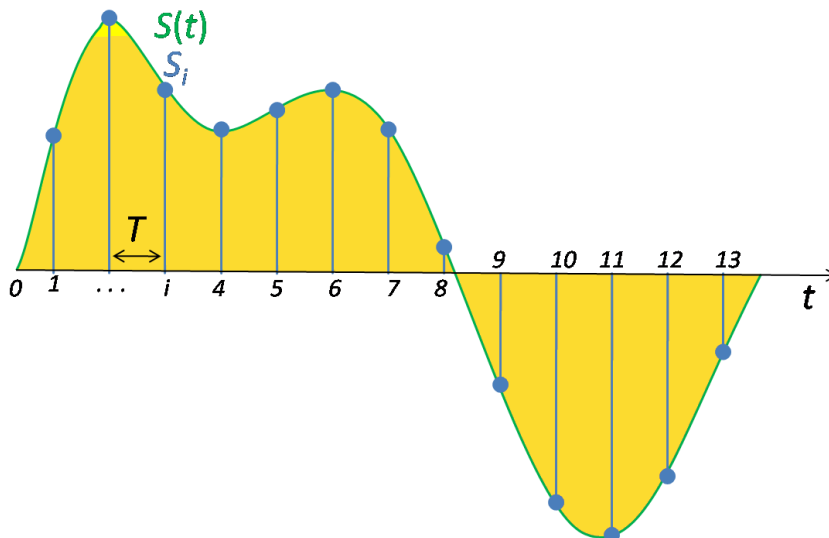


Nothing says "We are confident this seminar will be intellectually stimulating for you" like a table full of things to help you stay awake.

JORGE CHAM © 2013  
WWW.PHDCOMICS.COM



This type of sampling...



Source: Wikipedia: [http://en.wikipedia.org/wiki/File:Signal\\_Sampling.png](http://en.wikipedia.org/wiki/File:Signal_Sampling.png)



## Sampling Theorem

- The Nyquist criterion states:

To prevent aliasing, a **bandlimited** signal of bandwidth  $w_B$  rad/s must be sampled at a rate greater than  $2w_B$  rad/s

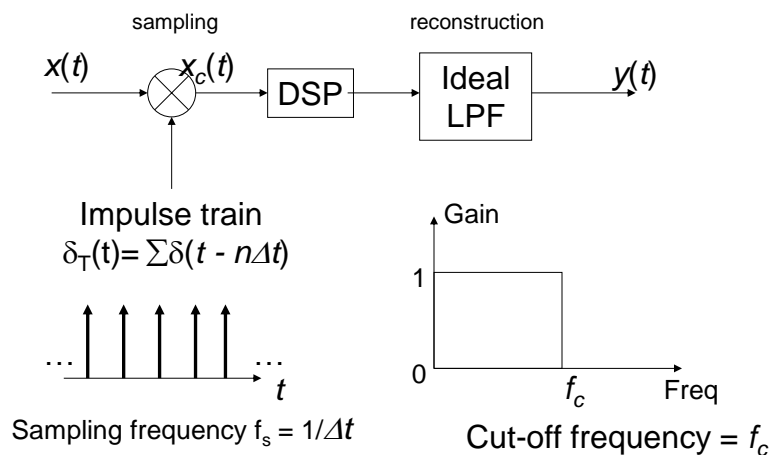
$$w_s > 2w_B$$

Note: this is a  $>$  sign not a  $\geq$

Also note: Most real world signals require band-limiting with a lowpass (anti-aliasing) filter



## Mathematics of Sampling and Reconstruction

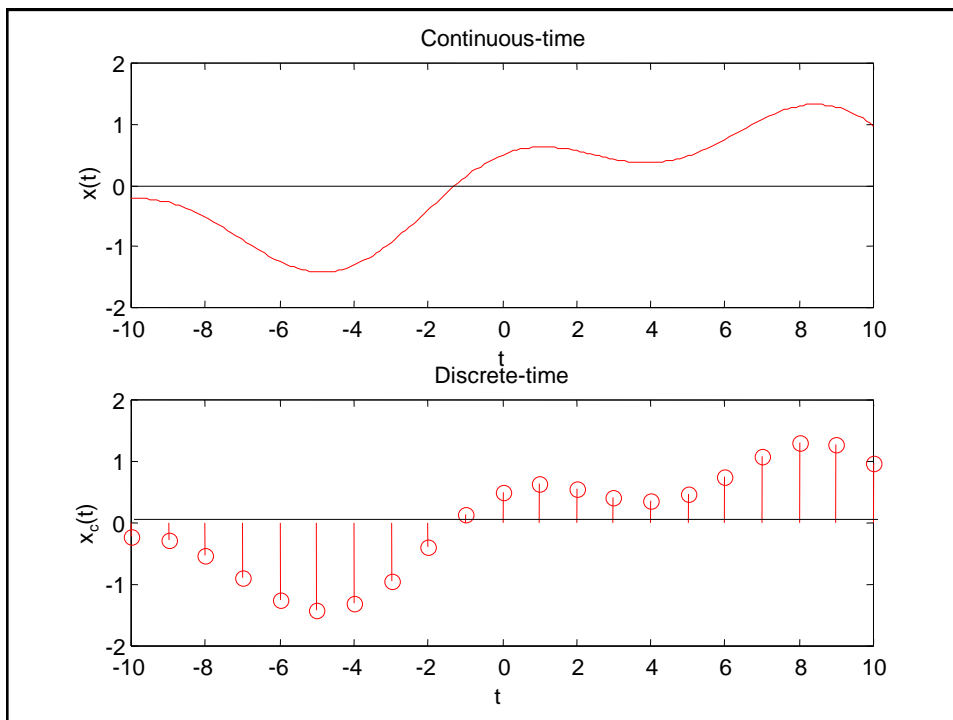


## Mathematical Model of Sampling

- $x(t)$  multiplied by impulse train  $\delta_T(t)$

$$\begin{aligned}x_c(t) &= x(t)\delta_T(t) \\&= x(t)[\delta(t) + \delta(t - \Delta t) + \delta(t - 2\Delta t) + \dots] \\&= \sum_n x(n\Delta t)\delta(t - n\Delta t)\end{aligned}$$

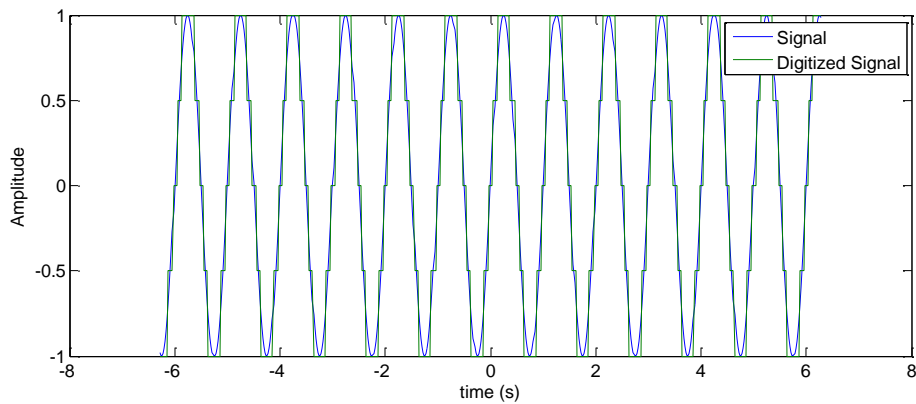
- $x_c(t)$  is a train of impulses of height  $x(t)|_{t=n\Delta t}$





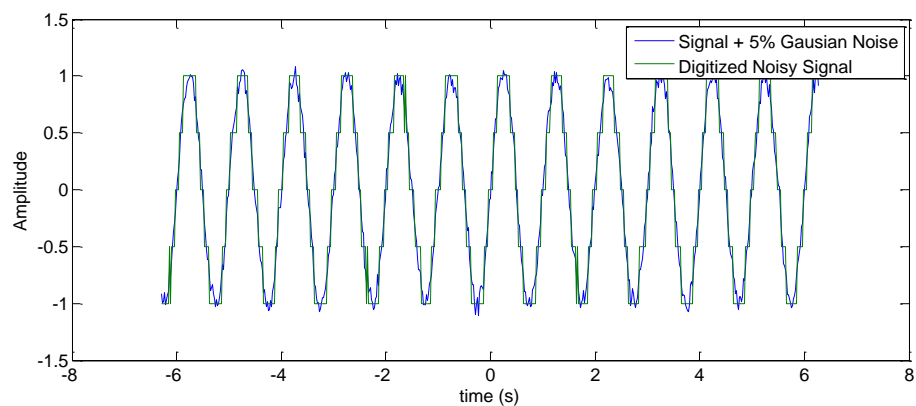
## Discrete Time Signal

- Image a signal...



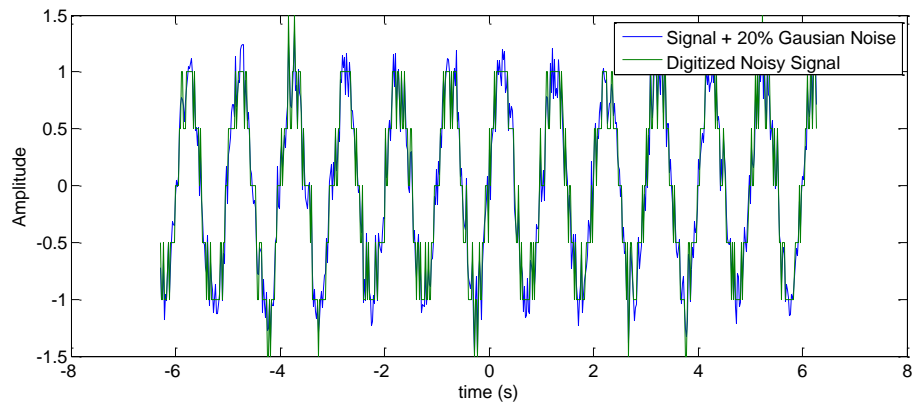
## Discrete Time Signals

- Digitization helps beat the Noise!



## Discrete Time Signals

- But only so much...



## Signal Manipulations

- Shifting

$$y(n) = x(n - n_0)$$

- Reversal

$$y(n) = x(-n)$$

- Time Scaling  
(Down Sampling)

$$y(M) = x(Mn)$$

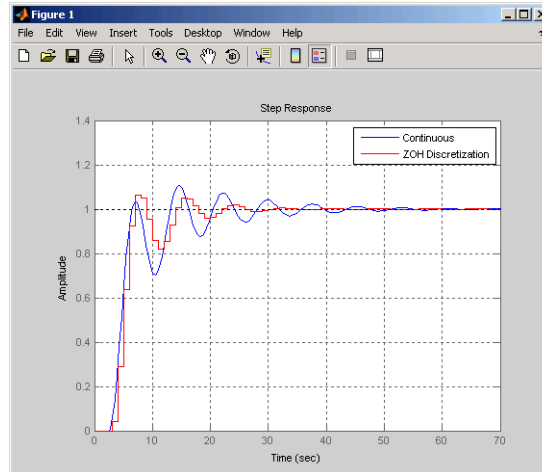
(Up Sampling)

$$y(n) = x\left(\frac{n}{N}\right)$$



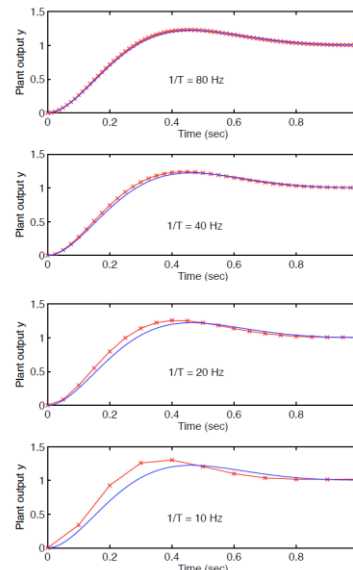
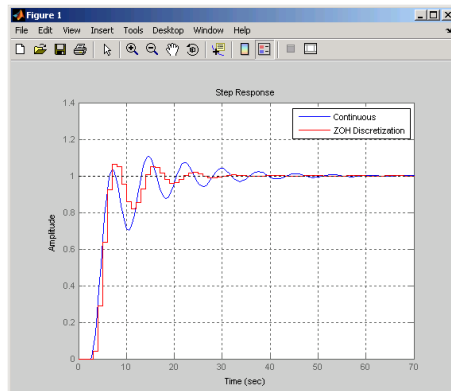
## Discrete Time Signals

- Can make control tricky!

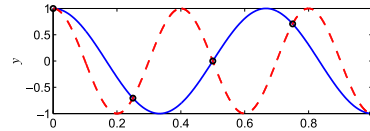
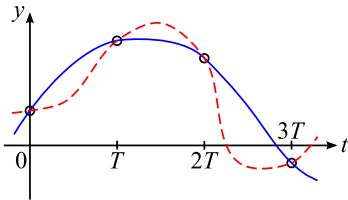


## Discrete Time Signals

- Can make control tricky!



## Nyquist Sampling Theorem and Aliasing



- A signal  $y(t)$  is uniquely defined by its samples  $y(kT)$  if the sampling frequency is more than twice the bandwidth of  $y(t)$ .



## Sampling Theorem

- The Nyquist criterion states:

To prevent aliasing, a **bandlimited** signal of bandwidth  $w_B$  rad/s must be sampled at a rate greater than  $2w_B$  rad/s

$$w_s > 2w_B$$

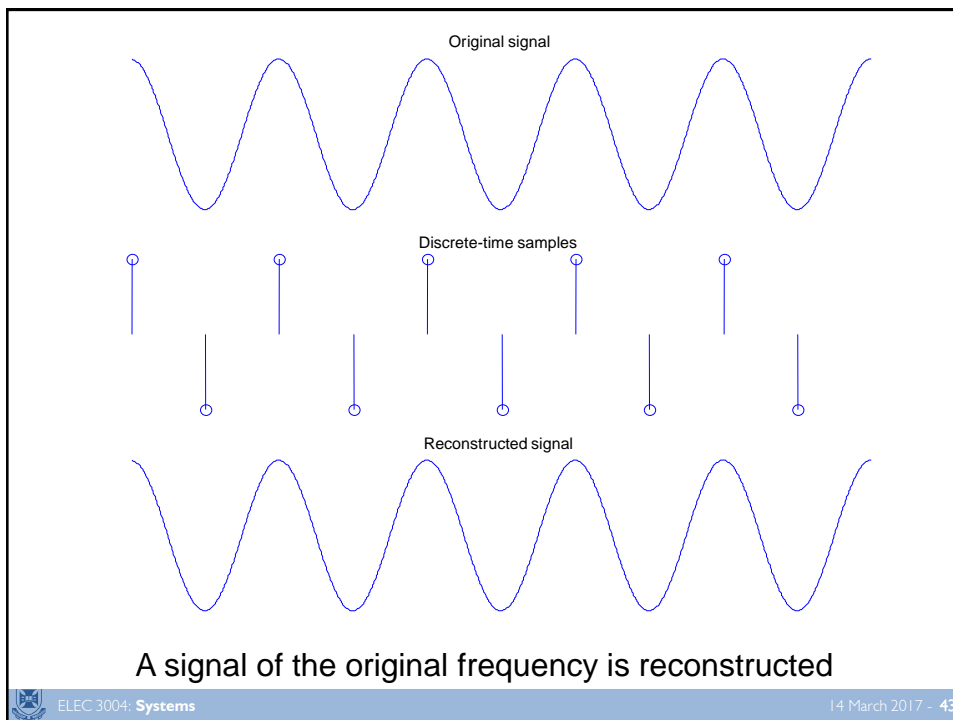
Note: this is a  $>$  sign not a  $\geq$

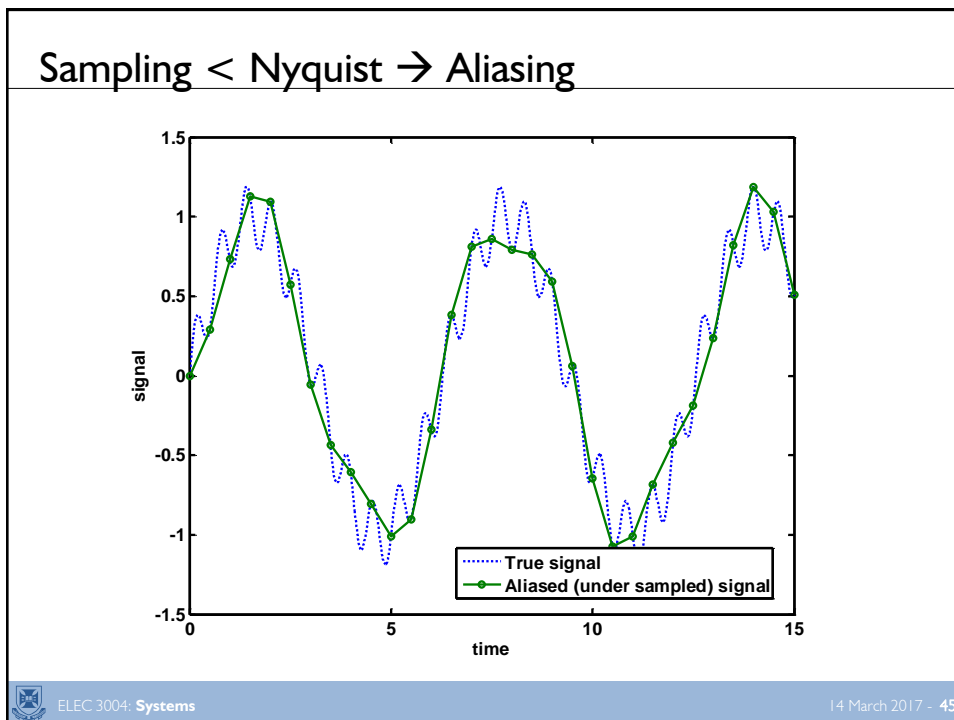
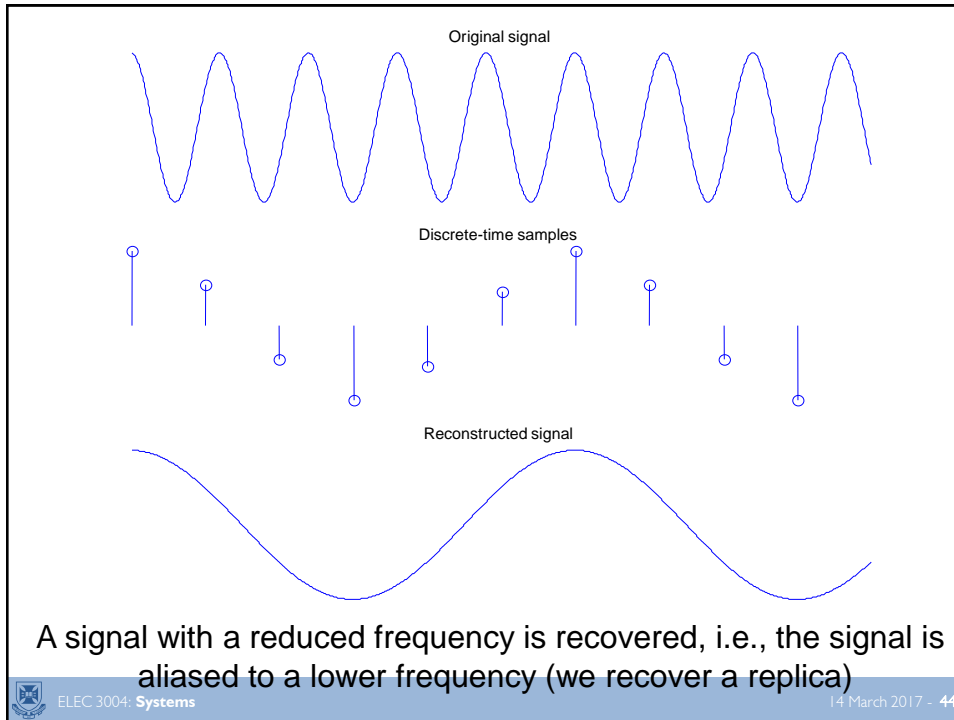
Also note: Most real world signals require band-limiting with a lowpass (anti-aliasing) filter



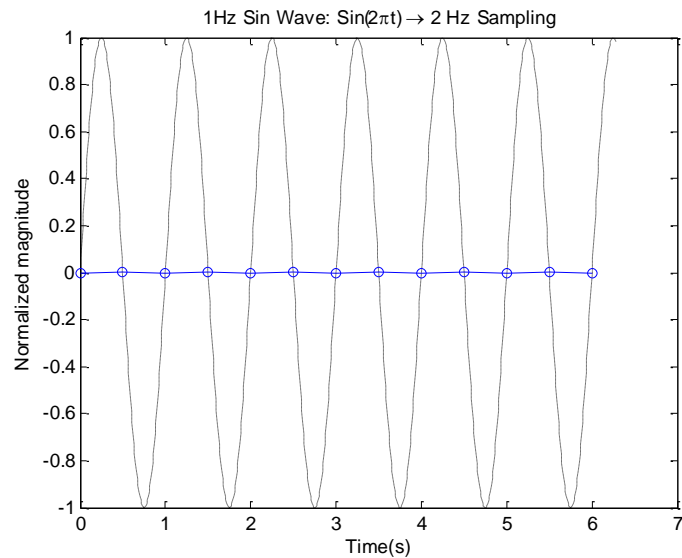
## Time Domain Analysis of Sampling

- Frequency domain analysis of sampling is very useful to understand
  - sampling ( $X(w) * \sum \delta(w - 2\pi n/\Delta t)$ )
  - reconstruction (lowpass filter removes replicas)
  - aliasing (if  $w_s \leq 2w_B$ )
- Time domain analysis can also illustrate the concepts
  - sampling a sinewave of increasing frequency
  - sampling images of a rotating wheel

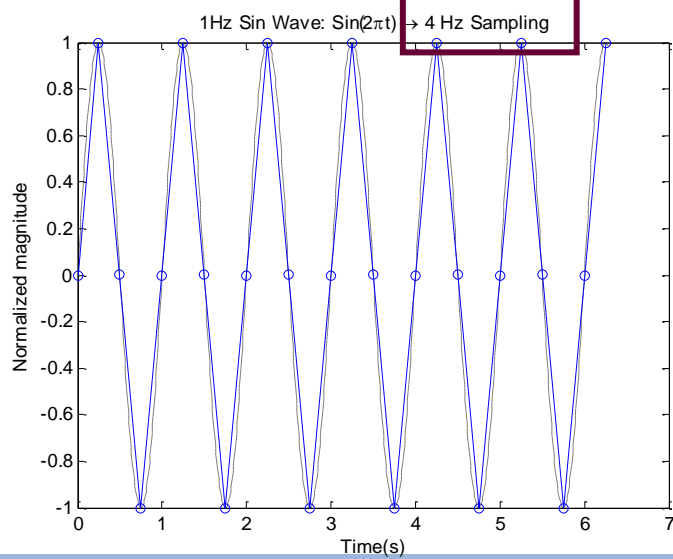




## Nyquist is not enough ...



## A little more than Nyquist is not enough ...



## Frequency Domain Analysis of Sampling

- Consider the case where the DSP performs no filtering operations
  - i.e., only passes  $x_c(t)$  to the reconstruction filter
- To understand we need to look at the frequency domain
- Sampling: we know
  - multiplication in time  $\equiv$  convolution in frequency
  - $F\{x(t)\} = X(w)$
  - $F\{\delta T(t)\} = \sum \delta(w - 2\pi n/\Delta t)$ ,
  - i.e., an impulse train in the frequency domain



## Frequency Domain Analysis of Sampling

- In the frequency domain we have

$$\begin{aligned} X_c(w) &= \frac{1}{2\pi} \left( X(w) * \frac{2\pi}{\Delta t} \sum_n \delta\left(w - \frac{2\pi n}{\Delta t}\right) \right) \\ &= \frac{1}{\Delta t} \sum_n X\left(w - \frac{2\pi n}{\Delta t}\right) \end{aligned}$$

Remember  
convolution with  
an impulse?  
Same idea for an  
impulse train

- Let's look at an example
  - where  $X(w)$  is triangular function
  - with maximum frequency  $w_m$  rad/s
  - being sampled by an impulse train, of frequency  $w_s$  rad/s





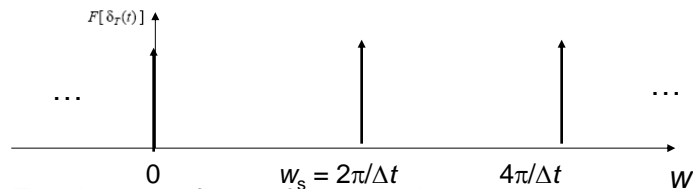
## Sampling Frequency

- In this example it was possible to recover the original signal from the discrete-time samples
- But is this always the case?
- Consider an example where the sampling frequency  $w_s$  is reduced
  - i.e.,  $\Delta t$  is increased

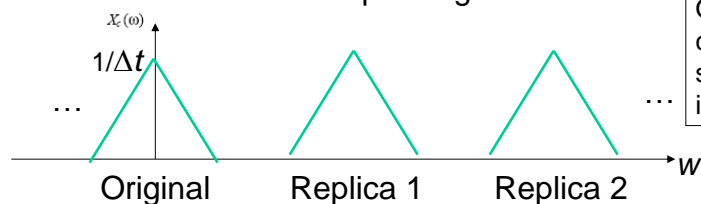


## Fourier transform of original signal $X(\omega)$ (signal spectrum)

Fourier transform of impulse train  $\delta_T(\omega/2\pi)$  (sampling signal)

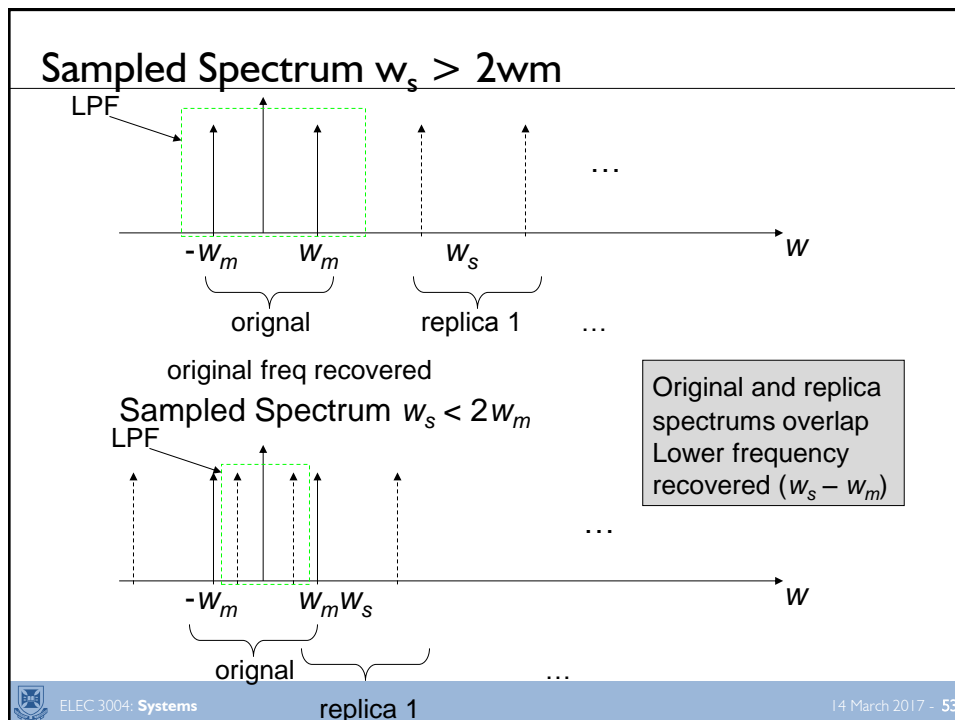
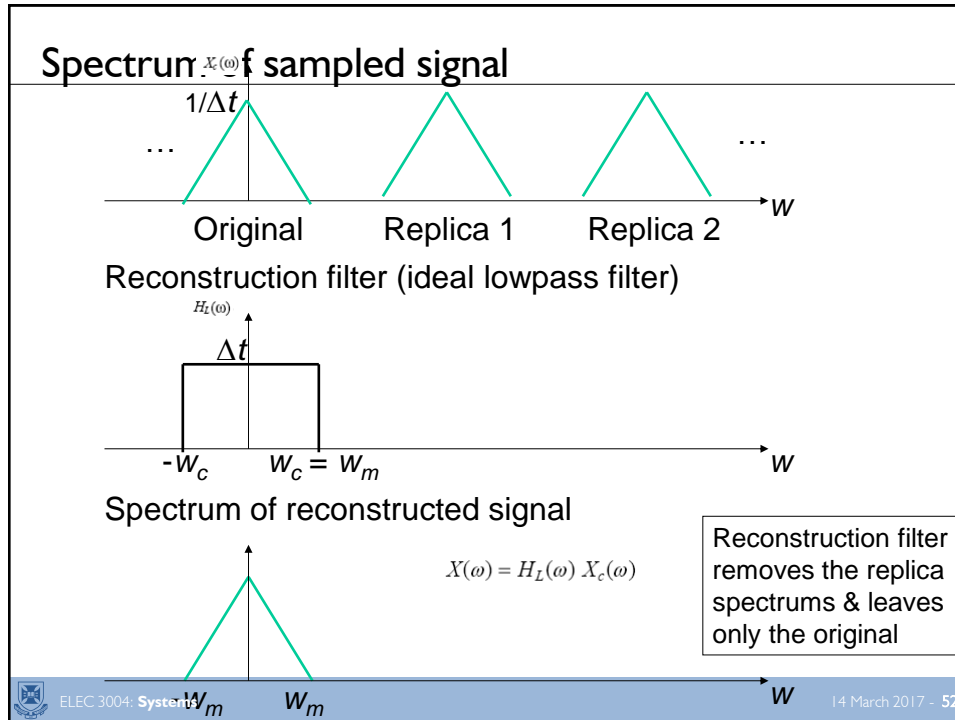


Fourier transform of sampled signal



Original spectrum  
convolved with  
spectrum of  
impulse train



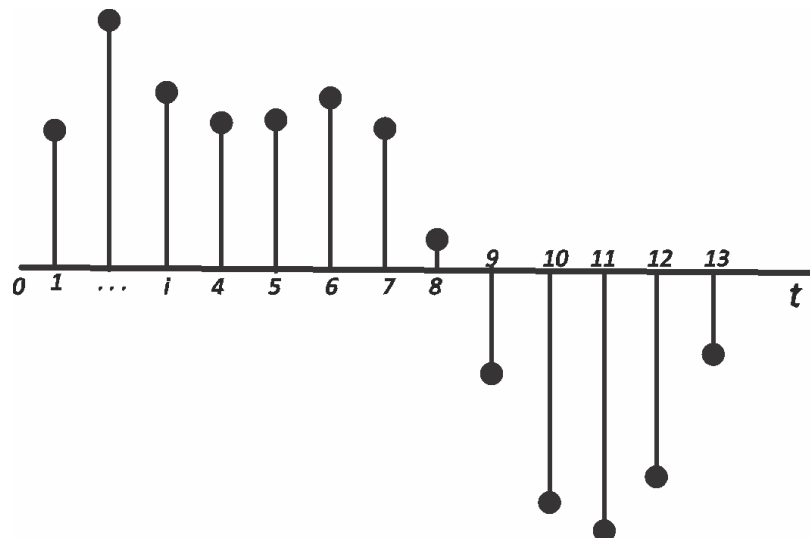


# RECONSTRUCTION

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## Reconstruction



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## Sampling and Reconstruction Theory and Practice

- Signal is bandlimited to bandwidth WB
  - Problem: real signals are not bandlimited
    - Therefore, require (non-ideal) anti-aliasing filter
- Signal multiplied by ideal impulse train
  - problems: sample pulses have finite width
  - and not  $\otimes$  in practice, but sample & hold circuit
- Samples discrete-time, continuous valued
  - Problem: require discrete values for DSP
    - Therefore, require A/D converter (quantisation)
- Ideal lowpass reconstruction ('sinc' interpolation)
  - problems: ideal lowpass filter not available
    - Therefore, use D/A converter and practical lowpass filter



## Time Domain Analysis of Reconstruction

- Frequency domain: multiply by ideal LPF
  - ideal LPF: 'rect' function (gain  $\Delta t$ , cut off  $w_c$ )
  - removes replica spectrums, leaves original
- Time domain: this is equivalent to
  - convolution with 'sinc' function
  - as  $F^{-1}\{\Delta t \text{ rect}(w/w_c)\} = \Delta t w_c \text{ sinc}(w_c t/\pi)$
  - i.e., weighted sinc on every sample
- Normally,  $w_c = w_s/2$

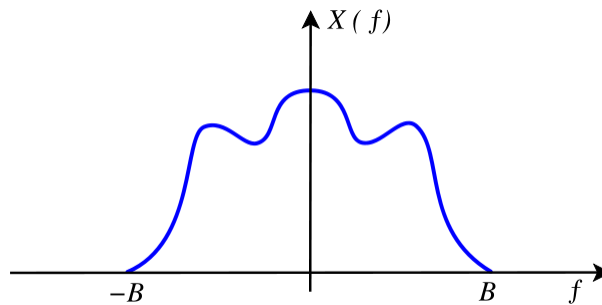
$$x_r(t) = \sum_{n=-\infty}^{\infty} x(n\Delta t) \Delta t w_c \text{ sinc}\left(\frac{w_c(t - n\Delta t)}{\pi}\right)$$



## Reconstruction

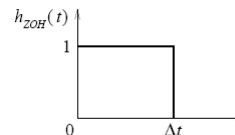
- Whittaker–Shannon interpolation formula

$$x(t) = \sum_{n=-\infty}^{\infty} x[n] \cdot \text{sinc}\left(\frac{t-nT}{T}\right)$$

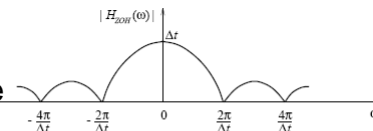


## Zero Order Hold (ZOH)

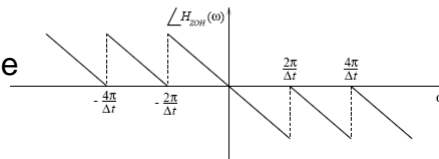
ZOH impulse response



ZOH amplitude response

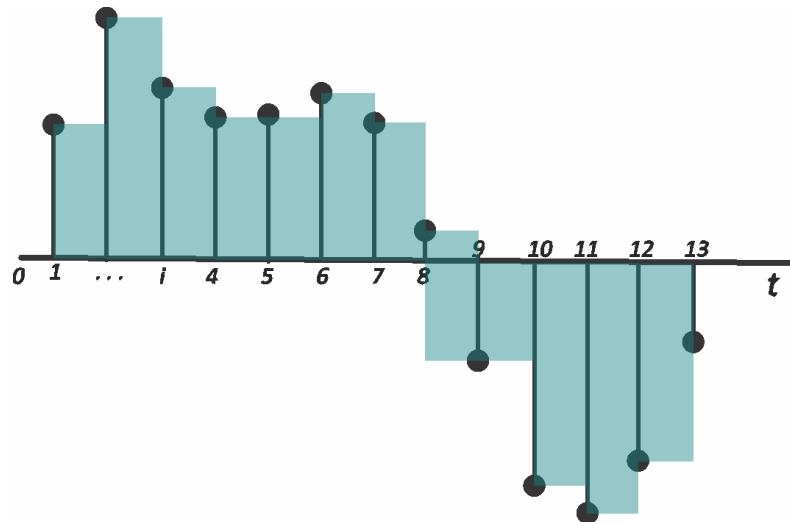


ZOH phase response



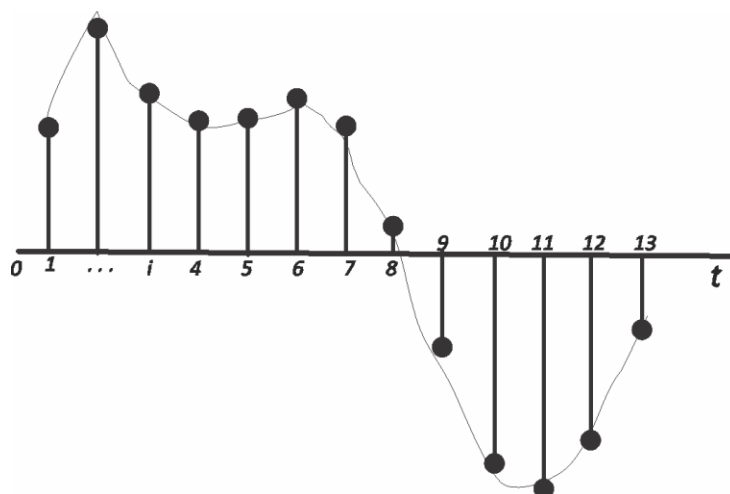
## Reconstruction

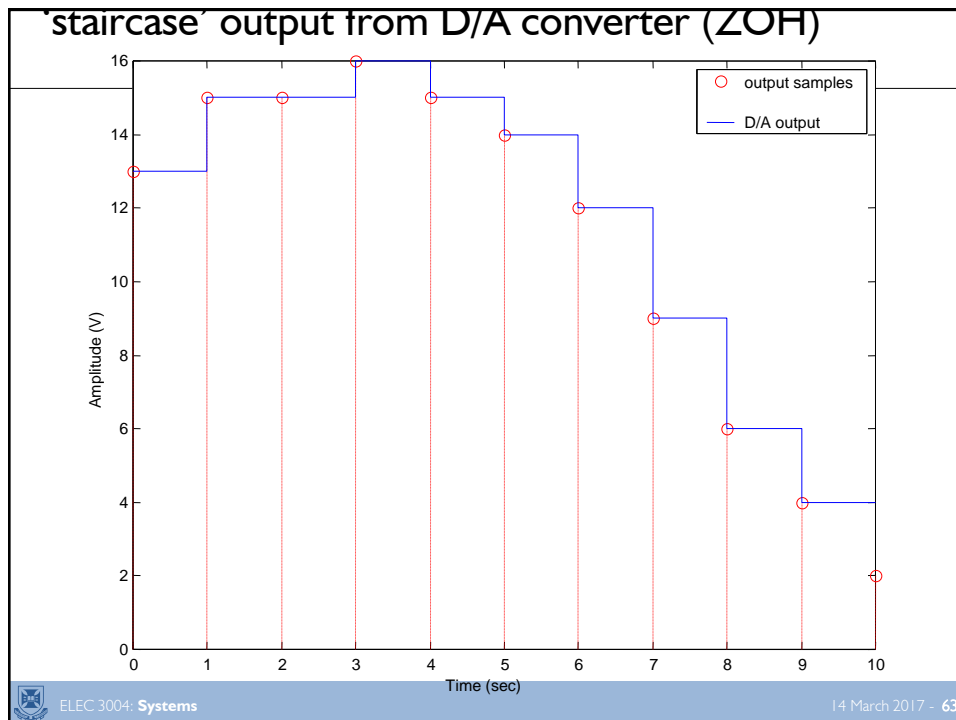
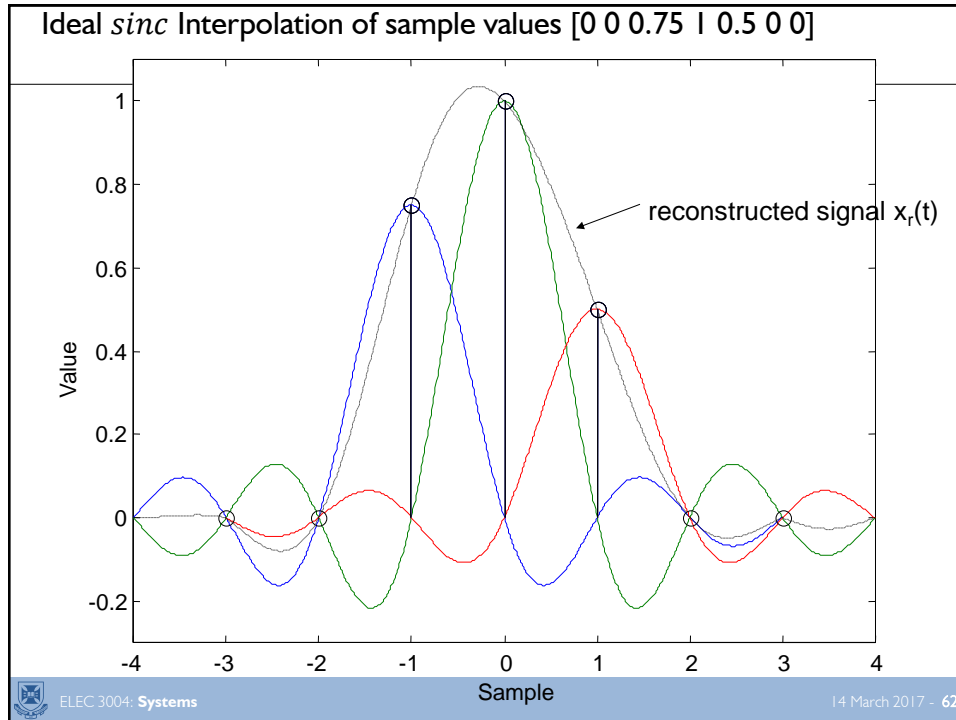
- Zero-Order Hold [ZOH]

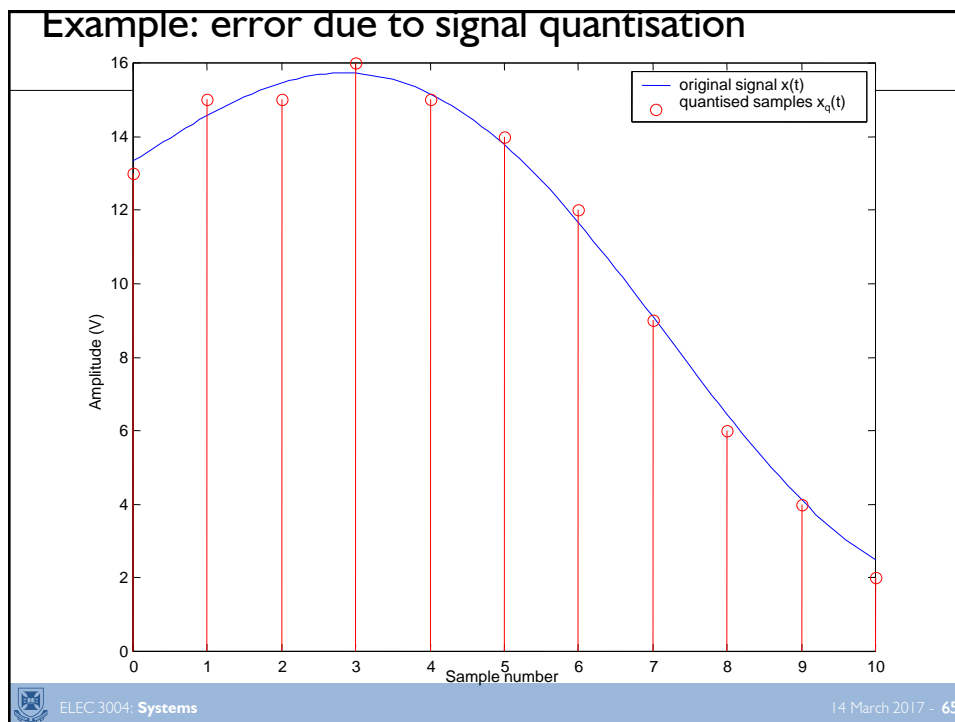
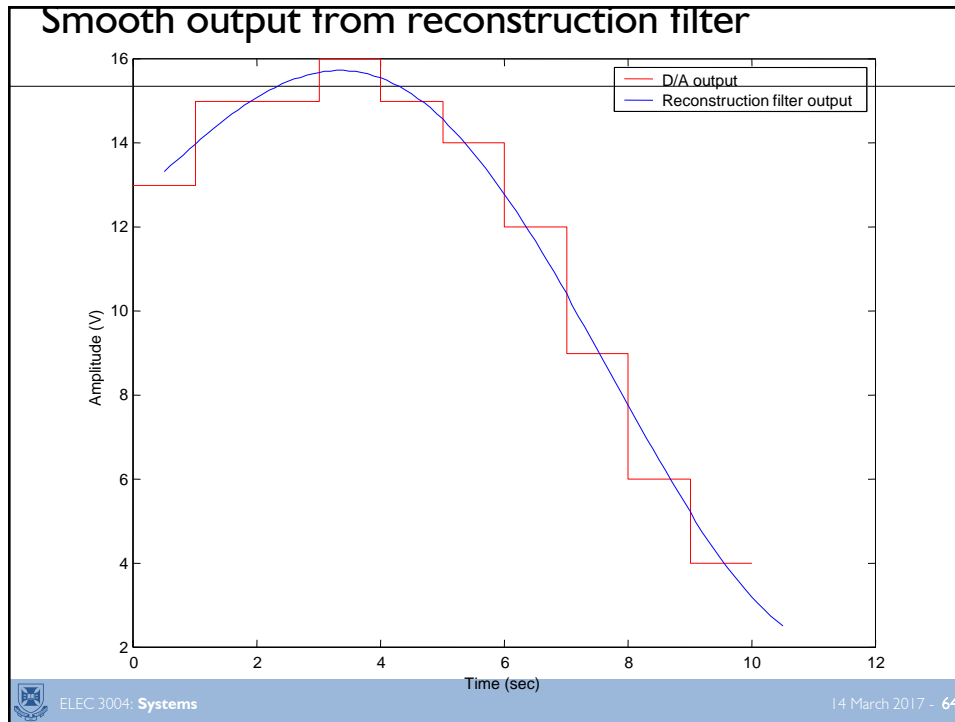


## Reconstruction

- Whittaker–Shannon interpolation formula









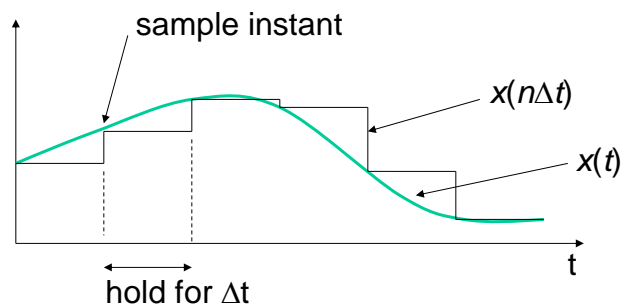
## Finite Width Sampling

- Impulse train sampling not realisable
  - sample pulses have finite width (say nanosecs)
- This produces two effects,
- Impulse train has sinc envelope in frequency domain
  - impulse train is square wave with small duty cycle
  - Reduces amplitude of replica spectrums
    - smaller replicas to remove with reconstruction filter ☺
- Averaging of signal during sample time
  - effective low pass filter of original signal
    - can reduce aliasing, but can reduce fidelity ☹
    - negligible with most S/H ☺



## Practical Sampling

- Sample and Hold (S/H)
  1. takes a sample every  $\Delta t$  seconds
  2. holds that value constant until next sample
- Produces 'staircase' waveform,  $x(n\Delta t)$



## Practical Reconstruction

Two stage process:

1. Digital to analogue converter (D/A)
  - zero order hold filter
  - produces ‘staircase’ analogue output
2. Reconstruction filter
  - non-ideal filter:  $w_c = w_s/2$
  - further reduces replica spectrums
  - usually 4<sup>th</sup> – 6<sup>th</sup> order e.g., Butterworth
    - for acceptable phase response



## D/A Converter

- Analogue output  $y(t)$  is
  - convolution of output samples  $y(n\Delta t)$  with  $h_{ZOH}(t)$

$$y(t) = \sum_n y(n\Delta t) h_{ZOH}(t - n\Delta t)$$

$$h_{ZOH}(t) = \begin{cases} 1, & 0 \leq t < \Delta t \\ 0, & \text{otherwise} \end{cases}$$

$$H_{ZOH}(w) = \Delta t \exp\left(\frac{-jw\Delta t}{2}\right) \frac{\sin(w\Delta t / 2)}{w\Delta t / 2}$$

D/A is lowpass filter with sinc type frequency response  
It does not completely remove the replica spectrums  
Therefore, additional reconstruction filter required



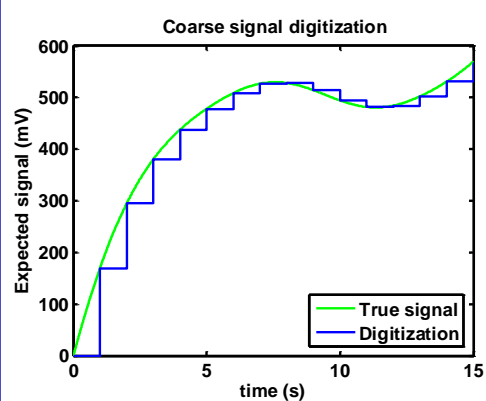
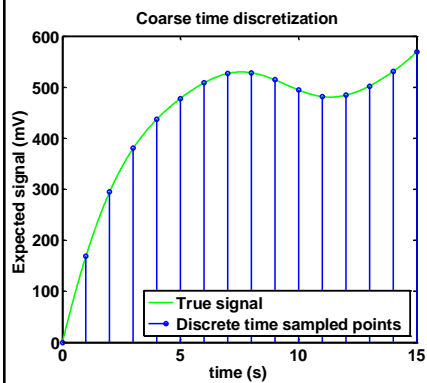
# Data Acquisition (A/D Conversion)

ELEC 3004: Systems

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## Representation of Signal

- Time Discretization
- Digitization



ELEC 3004: Systems

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## Quantisation

- Analogue to digital converter (A/D)
  - Calculates nearest binary number to  $x(n\Delta t)$ 
    - $x_q[n] = q(x(n\Delta t))$ , where  $q()$  is non-linear rounding fctn
  - output modeled as  $x_q[n] = x(n\Delta t) + e[n]$
- Approximation process
  - therefore, loss of information (unrecoverable)
  - known as ‘quantisation noise’ ( $e[n]$ )
  - error reduced as number of bits in A/D increased
    - i.e.,  $\Delta x$ , quantisation step size reduces

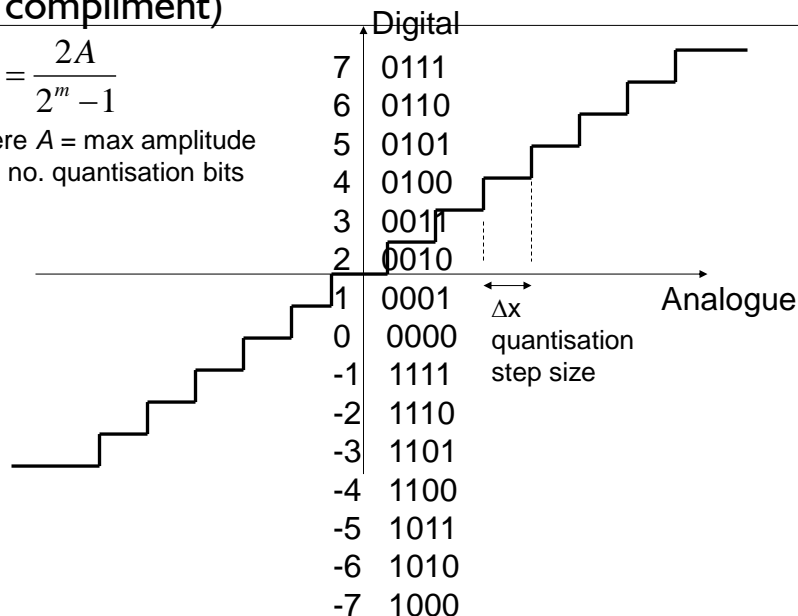
$$|e[n]| \leq \frac{\Delta x}{2}$$



## Input-output for 4-bit quantiser (two's complement)

$$\Delta x = \frac{2A}{2^m - 1}$$

where  $A$  = max amplitude  
 $m$  = no. quantisation bits



## Signal to Quantisation Noise

- To estimate SQNR we assume
  - $e[n]$  is uncorrelated to signal and is a
  - uniform random process
- assumptions not always correct!
  - not the only assumptions we could make...
- Also known a 'Dynamic range' ( $R_D$ )
  - expressed in decibels (dB)
  - ratio of power of largest signal to smallest (noise)

$$R_D = 10 \log_{10} \left( \frac{P_{\text{signal}}}{P_{\text{noise}}} \right)$$



## Dynamic Range

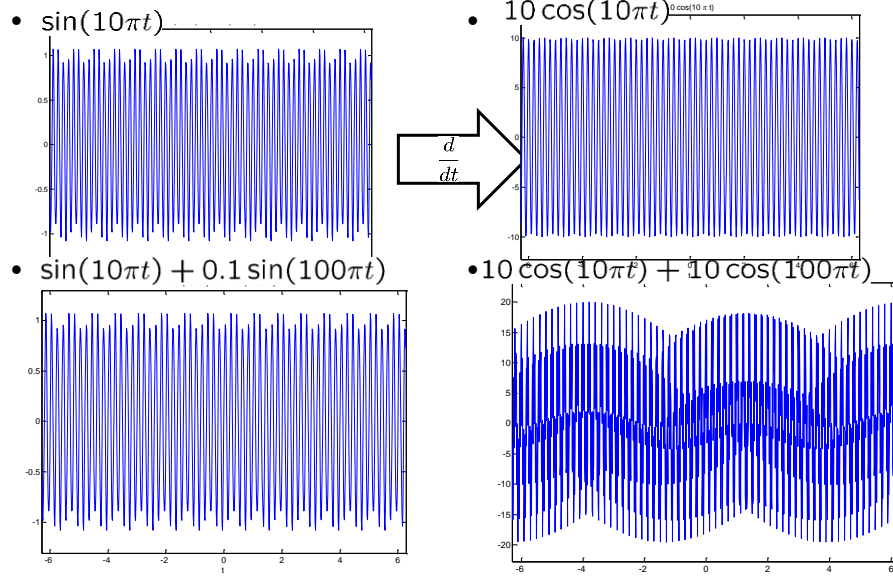
Need to estimate:

1. Noise power
    - uniform random process:  $P_{\text{noise}} = \Delta x^2/12$
  2. Signal power
    - (at least) two possible assumptions
    - 1. sinusoidal:  $P_{\text{signal}} = A^2/2$
    - 2. zero mean Gaussian process:  $P_{\text{signal}} = \sigma^2$ 
      - Note: as  $\sigma \approx A/3$ :  $P_{\text{signal}} \approx A^2/9$
      - where  $\sigma^2$  = variance,  $A$  = signal amplitude
- 1 extra bit halves  $\Delta x$   
i.e.,  $20 \log_{10}(1/2) = 6\text{dB}$

Regardless of assumptions:  $R_D$  increases by 6dB  
for every bit that is added to the quantiser



## Derivatives magnify noise!

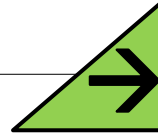


## Summary

- Theoretical model of Sampling
  - bandlimited signal ( $w_B$ )
  - multiplication by ideal impulse train ( $w_s > 2w_B$ )
    - convolution of frequency spectrums (creates replicas)
  - Ideal lowpass filter to remove replica spectrums
    - $w_c = w_s / 2$
    - Sinc interpolation
- Practical systems
  - Anti-aliasing filter ( $w_c < w_s / 2$ )
  - A/D (S/H and quantisation)
  - D/A (ZOH)
  - Reconstruction filter ( $w_c = w_s / 2$ )

Don't confuse  
theory and  
practice!

## Next Time...



- Aliasing and Anti-Aliasing
- Review:
  - Chapter 5 of Lathi
- A signal has many signals ☺  
[Unless it's bandlimited]



## Aliasing

- Aliasing - through sampling, two entirely different analog sinusoids take on the same “discrete time” identity

For  $f[k] = \cos \Omega k$ ,  $\Omega = \omega T$ :

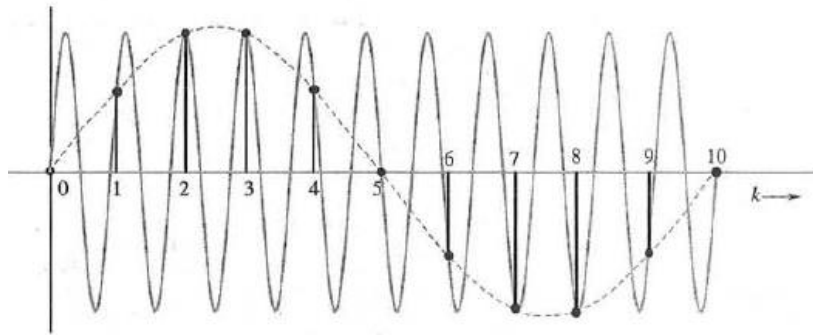
The period has to be less than  $F_h$  (highest frequency):  $T \leq \frac{1}{2F_h}$

Thus:  $0 \leq \mathcal{F} \leq \frac{\mathcal{F}_s}{2}$

$\omega_f$ : aliased frequency:  $\omega T = \omega_f T + 2\pi m$



## Aliasing: Another view of this



## Practical Anti-aliasing Filter

- Non-ideal filter
  - $\omega_c = \omega_s / 2$
- Filter usually 4th – 6th order (e.g., Butterworth)
  - so frequencies  $> \omega_c$  may still be present
  - not higher order as phase response gets worse
- Luckily, most real signals
  - are lowpass in nature
    - signal power reduces with increasing frequency
  - e.g., speech naturally bandlimited (say  $< 8\text{KHz}$ )
  - Natural signals have a (approx)  $1/f$  spectrum
  - so, in practice aliasing is not (usually) a problem

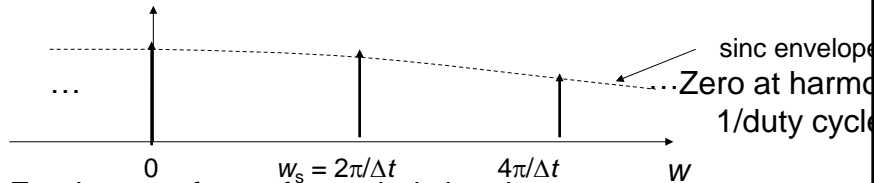




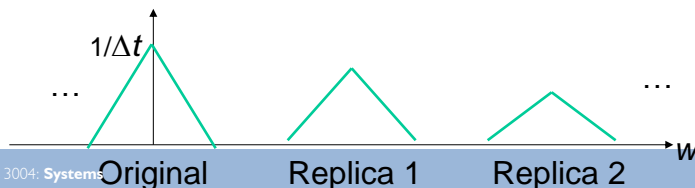
## Amplitude spectrum of original signal



Fourier transform of sampling signal (pulses have finite width)

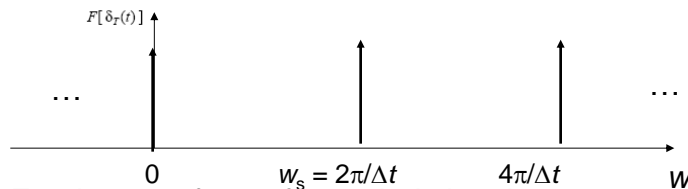


Fourier transform of sampled signal

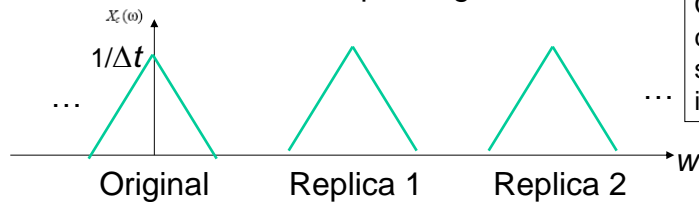


## Fourier transform of original signal $X(\omega)$ (signal spectrum) **[AGAIN!]**

Fourier transform of impulse train  $\delta_T(\omega/2\pi)$  (sampling signal)

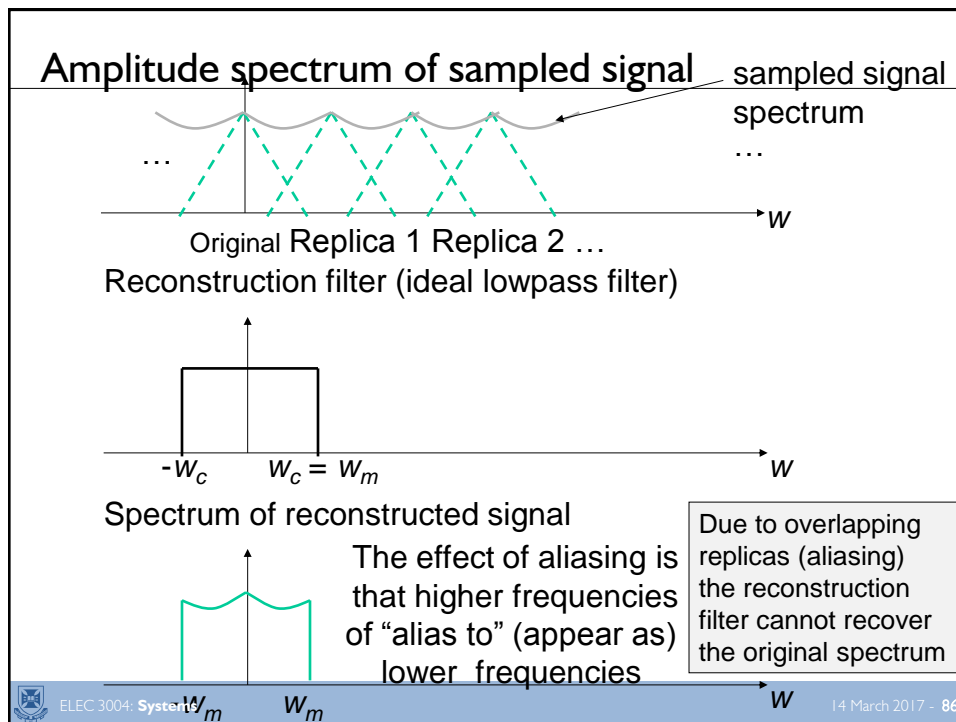
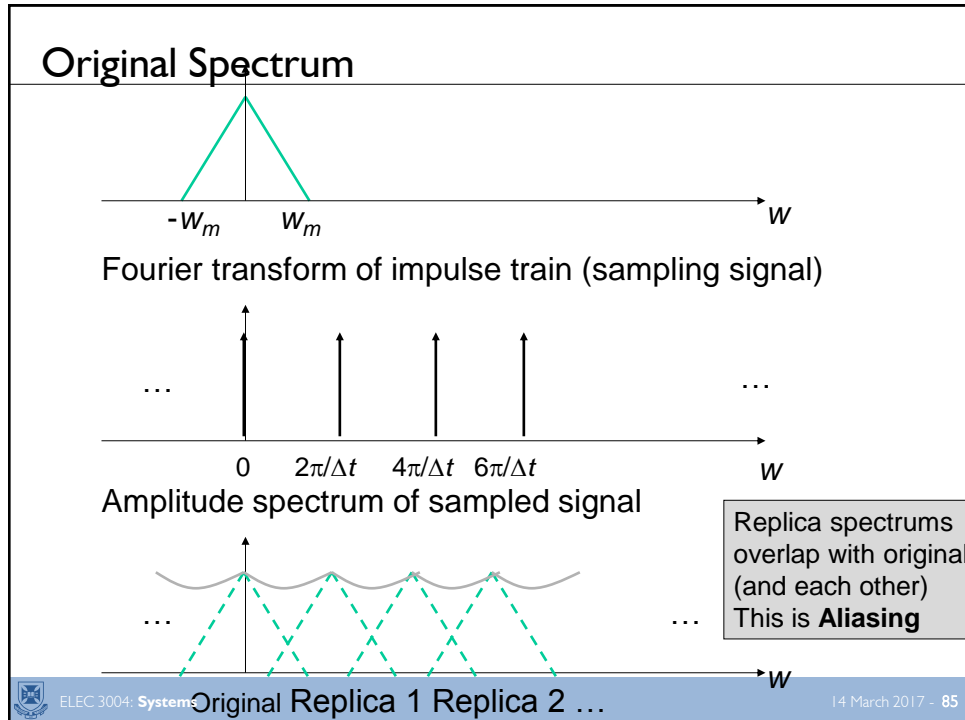


Fourier transform of sampled signal

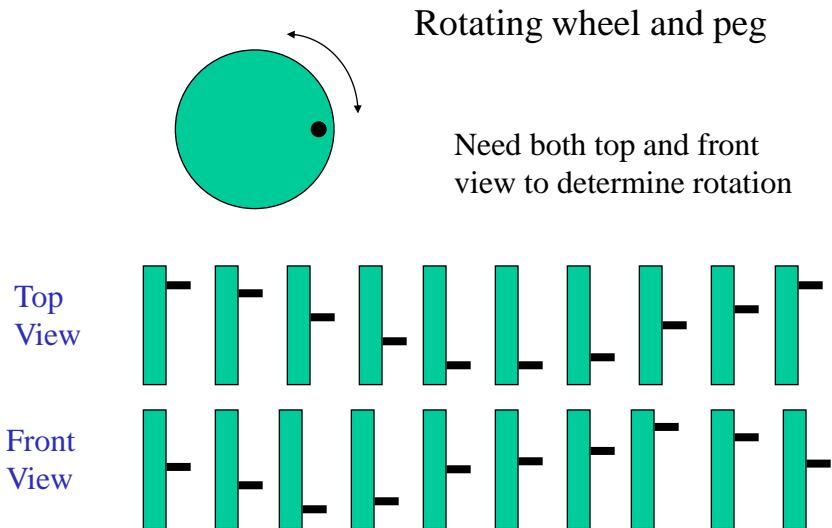


Original spectrum  
convolved with  
spectrum of  
impulse train



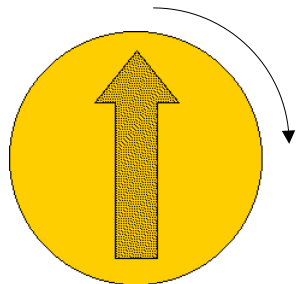


## Another way to see Aliasing Too!

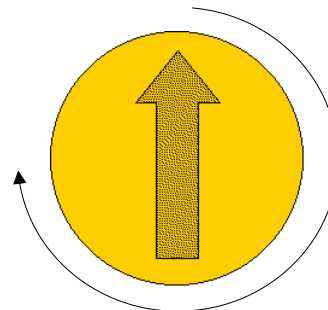


## Temporal Aliasing

90° clockwise rotation/frame  
clockwise rotation perceived



270° clockwise rotation/frame  
(90°) anticlockwise rotation  
perceived i.e., aliasing



Require LPF to 'blur' motion

